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EX03-077C-US

Plowman, et al

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Filing Date

**DECLARATION FOR UTILITY OR** 

DESIGN

PATENT APPLICATION (37 CFR 1.63)

OR

□ Declaration

Submitted after Initial

□ Declaration

Submitted

Attorney Docket Number

First Named Inventor

**Application Number** 

|     | With Initial<br>Filing   | Filing (surcharge<br>(37 CFR 1.16 (e))                           | Art Unit                            |                         |                      |               |  |  |  |  |  |  |
|-----|--|--|-------------------------------------|-------------------------|----------------------|---------------|--|--|--|--|--|--|
|     | · imig   | required)  | Examiner Name                       |                         |                      | )             |  |  |  |  |  |  |
|     |  |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     | I hereby declare that:   |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     | Each inventor's residence, mailing address, and citizenship are as stated below next to their name.  |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     | I believe the inventor(s) nar  | med helow to be the original an                                  | d first inventor(s) of the subje    | ct matter which is o    | laimed and for whi   | ch a patent   |  |  |  |  |  |  |
|     | I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     | CDKL1 AS MODIFIER OF BRANCHING MORPHOGENESIS AND METHODS OF USE  |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     |  |  |                                     |                         |                      |               |  |  |  |  |  |  |
| į   |  |  | ····                                |                         |                      |               |  |  |  |  |  |  |
|     | the specification of which   | (Title of th   | e Invention)                        |                         |                      |               |  |  |  |  |  |  |
|     | is attached hereto   |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     | OR  ☑ was filed on (MM/DD  | (YYYYY) 10/22/2003   | as United States Ap                 | olication Number o      | r PCT International  |               |  |  |  |  |  |  |
| ۸   |  | 10/22/2003   |                                     | ·                       |                      | applicable).  |  |  |  |  |  |  |
| ·   | plication Number   |  | was amended on (MM/DD/Y)            | · <u> </u>              | · ·                  | ,             |  |  |  |  |  |  |
|     | ereby state that I have reviewded specifically referre   | viewed and understand the co<br>ed to above.                     | ontents of the above identifi       | ea specification, i     | ricidaling the claim | 5, 45         |  |  |  |  |  |  |
|     |  | sclose information which is mions, material information whi      |                                     |                         |                      |               |  |  |  |  |  |  |
|     |  | ional filing date of the continu                                 |                                     | serr the ming date      | or the phot applie   | 20011 0110    |  |  |  |  |  |  |
|     |  | benefits under 35 U.S.C. 119(a or 365(a) of any PCT internati    |                                     |                         |                      |               |  |  |  |  |  |  |
| Sta | ates of America, listed below  | w and have also identified belo<br>or any PCT international appl | w, by checking the box, any         | foreign application     | for patent, invente  | or's or plant |  |  |  |  |  |  |
|     | imed.  | or any row memorial appr   |                                     |                         |                      |               |  |  |  |  |  |  |
| Pr  | ior Foreign Application<br>Number(s)   | Country  | Foreign Filing Date<br>(MM/DD/YYYY) | Priority<br>Not Claimed | Certified Copy       |               |  |  |  |  |  |  |
|     |  |  |                                     |                         | YES                  | NO            |  |  |  |  |  |  |
|     |  |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     |  |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     |  |  |                                     |                         |                      |               |  |  |  |  |  |  |
|     | Additional foreign applicat  | tion numbers are listed on a sup                                 | polemental priority data sheel      | PTO/SB/02B attac        | ched hereto:         |               |  |  |  |  |  |  |
|     |  |  | [Page 1 of 2]                       |                         |                      |               |  |  |  |  |  |  |
| put | olic which is to file (and by  | s required by 35 U.S.C. 115 an the USPTO to process) an ap       | plication. Confidentiality is go    | overned by 35 U.S       | .C. 122 and 37 CF    | R 1.11 and    |  |  |  |  |  |  |
| 1.1 | public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this |  |                                     |                         |                      |               |  |  |  |  |  |  |

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# DECLARATION — Utility or Design Patent Application

| Direct all correspondence to:   Customer Num  | rect all correspondence to:   Customer Number |                |                                |                                 | OR        | Correspondence ad            | dress below |  |
|---|---|----------------|--------------------------------|---------------------------------|-----------|------------------------------|-------------|--|
| Name  |   |                |                                |                                 |           |                              |             |  |
| Address   |   |                |                                |                                 |           |                              |             |  |
| City  |   |                |                                | :                               | ZIP       |                              |             |  |
| Country   | Telephone                                     |                |                                |                                 | Fax       |                              |             |  |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |   |                |                                |                                 |           |                              |             |  |
| NAME OF SOLE OR FIRST INVENTOR:   |   |                | A petit                        | ion has                         | been file | d for this unsigned inver    | itor        |  |
| Given Name (first and middle [if any]) GREGORY D.   |   |                | Family Name or Surname PLOWMAN |                                 |           |                              |             |  |
| Inventor's Signature  |   | Date           |                                |                                 |           |                              |             |  |
| Residence: City<br>SAN CARLOS   | State<br>CA                                   |                |                                | Count<br>US                     | ry        | Citizenship<br>US            |             |  |
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| NAME OF SECOND INVENTOR:  |   |                | A petit                        | ion has                         | been file | d for this unsigned inver    | itor        |  |
| Given Name (first and middle [if any]) FELIX D.   |   |                |                                | Family Name or Surname<br>KARIM |           |                              |             |  |
| Inventor's Signature  |   |                |                                | •                               | Date      |                              |             |  |
| Residence: City WALNUT CREEK  | State<br>CA                                   |                |                                | Count<br>US                     | ry        | Citizenship<br>US            |             |  |
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| Additional inventors or a legal representative are being  | named on t                                    | he <u>5</u> su | pplement                       | al sheet(s                      | ) PTO/SB/ | )2A or 02LR attached hereto. |             |  |

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## **DECLARATION**

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 1 of 5

| Name of Addition        | у                                    | ☐ A petition has been filed for this unsigned inventor |  |  |      |                   |  |  |
|-------------------------|--------------------------------------|--|--|--|------|-------------------|--|--|
| Given                   | e (if any))                          |  | Family Name or Surname                                 |  |      |                   |  |  |
| CANDACE                 |                                      | s  | SWIMMER  |  |      |                   |  |  |
| Inventor's<br>Signature |                                      |  |  |  | Date |                   |  |  |
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| Name of Addition        | nal Inventor, if an                  | у  |  | A petition has been filed for this unsigned inventor |      |                   |  |  |
| Given                   | Name (first and middle               | e [if any])  |  | Family Name or Surname                               |      |                   |  |  |
| HINRICH ALEXANDER       | <u> </u>                             |  | HABECK   |  |      |                   |  |  |
| Inventor's<br>Signature |                                      |  |  |  | İ    | Date              |  |  |
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| Name of Addition        | al Inventor, if an                   | у  | ☐ A petition has been filed for this unsigned inventor |  |      |                   |  |  |
| Given                   | Name (first and middle               | e [if any])  | Family Name or Surname                                 |  |      |                   |  |  |
| THOMAS I.               |                                      |  | К  | OBLIZEK.   |      |                   |  |  |
| Inventor's<br>Signature |                                      |  |  |  | Date |                   |  |  |
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| Mailing Address         |                                      |  |  |  |      |                   |  |  |
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| Name of Additional Inventor, if an | ☐ A petition has been filed for this unsigned inventor |  |                        |                       |                   |  |  |
|------------------------------------|--|--|------------------------|-----------------------|-------------------|--|--|
| Given Name (first and middle       | Family Name or Surname                                 |  |                        |                       |                   |  |  |
| STEFAN                             |  | SCHULTE-MERKER   |                        |                       |                   |  |  |
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| Name of Additional Inventor, if an |  | A petition has been filed                              | for th                 | nis unsigned inventor |                   |  |  |
| Given Name (first and middle       | e [if any])  |  | Family Name or Surname |                       |                   |  |  |
| ULRIKE                             |  | LANGHEINRICH   |                        |                       |                   |  |  |
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| Name of Additional Inventor, if an | у  | ☐ A petition has been filed for this unsigned inventor |                        |                       |                   |  |  |
| Given Name (first and middle       | e [if any])  | Family Name or Surname                                 |                        |                       |                   |  |  |
| GORDON MARK                        | sтотт  |  |                        |                       |                   |  |  |
| Inventor's<br>Signature            |  |  |                        |                       | Date              |  |  |
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| Name of Additional Inventor, if a | ıny           | ☐ A petition has been filed for this unsigned inventor |                                       |                            |  |  |
|-----------------------------------|---------------|--|---------------------------------------|----------------------------|--|--|
| Given Name (first and mid         | dle [if any]) | Family Name or Surname                                 |                                       |                            |  |  |
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| Name of Additional Inventor, if a | iny           |  | A petition has been filed             | for this unsigned inventor |  |  |
| Given Name (first and mid         | dle [if any]) | Family Name or Surname                                 |                                       |                            |  |  |
| ANDREAS MICHAEL                   |               | VOGEL  |                                       |                            |  |  |
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| Name of Additional Inventor, if a | ny            | ☐ A petition has been filed for this unsigned inventor |                                       |                            |  |  |
| Given Name (first and mide        | dle [if any]) | Family Name or Surname                                 |                                       |                            |  |  |
| JOERG HEINRICH                    |               | 0  | DENTHAL                               |                            |  |  |
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| Name of Additional Inventor, if any    |  |   |  | ☐ A petition has been filed for this unsigned inventor  |   |   |  |  |  |  |
|--|--|---|--|---|---|---|--|--|--|--|
| Given Name (first and middle [if any]) |  |   |  |   | Family Name or Surname  |   |  |  |  |  |
| JOCHEN KONRAD                          |  |   |  |   | SCHEEL  |   |  |  |  |  |
| Inventor's<br>Signature                |  |   |  |   |   | Date  |  |  |  |  |
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| 72076 TUEBINGEN                        |  |   |  |   |   |   |  |  |  |  |
| s                                      | State  | ZIF   | <b>.</b>   |   | Cou   | DE<br>untry   |  |  |  |  |
| al Inventor, if any                    | 1  |   | A petiti   | ion has been filed  | d for t   | his unsigned inventor   |  |  |  |  |
| Name (first and middle                 | [if any])  |   | Family Name or Surname   |   |   |   |  |  |  |  |
| TORSTEN TILMANN                        |  |   |  |   |   | WILL  |  |  |  |  |
| Inventor's<br>Signature                |  |   |  |   |   | Date  |  |  |  |  |
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| Sta                                    | ate  | e Zip   |  |   | Col   | Country DE  |  |  |  |  |
| Name of Additional Inventor, if any    |  |   |  |   | ☐ A petition has been filed for this unsigned inventor  |   |  |  |  |  |
| Name (first and middle                 | [if any])  | Family Name or Surname  |  |   |   |   |  |  |  |  |
| YISHENG                                |  |   |  | JIN   |   |   |  |  |  |  |
| Inventor's<br>Signature                |  |   |  |   |   | Date  |  |  |  |  |
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| 192 36 <sup>TH</sup> AVENUE, APT. B    |  |   |  |   |   |   |  |  |  |  |
|  |  |   |  |   |   |   |  |  |  |  |
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|  | Name (first and middle  EDUARD-SPRANGE  72076 TUEBINGEN  Sal Inventor, if any Name (first and middle  EICHENWEG 2  72076 TUEBINGEN  St  St Inventor, if any Name (first and middle | State  EDUARD-SPRANGER-STRASSE 72  72076 TUEBINGEN  State  al Inventor, if any  Name (first and middle [if any])  State  EICHENWEG 2  72076 TUEBINGEN  State  Al Inventor, if any  State  State  Al Inventor, if any  State  State  Al Inventor, if any  State  Al Inventor, if any  Name (first and middle [if any])  SAN MATEO  State  CA | State Co EDUARD-SPRANGER-STRASSE 72 72076 TUEBINGEN  State ZII  al Inventor, if any  Name (first and middle [if any])  State Co EICHENWEG 2 72076 TUEBINGEN  State Zig  Al Inventor, if any  Name (first and middle [if any])  State Zig  Al Inventor, if any  Name (first and middle [if any])  SAN MATEO State CA Co 192 36 <sup>TH</sup> AVENUE, APT. B | State Country  EDUARD-SPRANGER-STRASSE 72  72076 TUEBINGEN  State ZIP  al Inventor, if any A petiti  Name (first and middle [if any])  WILL  State Country  EICHENWEG 2  72076 TUEBINGEN  State Zip  EICHENWEG 2  72076 TUEBINGEN  State Zip  A petiti  A petiti  A petiti  A petiti  State Country  EICHENWEG 2  72076 TUEBINGEN  State Zip  A petiti  A | Name (first and middle [if any])  State  Country  EDUARD-SPRANGER-STRASSE 72  72076 TUEBINGEN  State  I Inventor, if any  Name (first and middle [if any])  State  Country  EICHENWEG 2  72076 TUEBINGEN  State  Zip  WILL  State  Zip  A petition has been filed  WILL  State  Zip  A petition has been filed  State  Zip  JIN  SAN MATEO  State  CA  Country  US | State Country  EDUARD-SPRANGER-STRASSE 72  72076 TUEBINGEN  State ZIP Country  A petition has been filed for to the state of the state |  |  |  |  |

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| Name of Additional Inventor, if ar | ıy          |  | ☐ A petition has been filed for this unsigned inventor |       |                       |  |  |  |
|------------------------------------|-------------|--|--|-------|-----------------------|--|--|--|
| Given Name (first and middl        |             | Family Name or Surname                                 |  |       |                       |  |  |  |
| BING                               | o ( d.1.93) | н  | HAI  |       |                       |  |  |  |
| Inventor's<br>Signature            | ·           | Date   |  |       |                       |  |  |  |
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